I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No. 1019916-003700US Client Reference No.: 0010B-US

ssistant Commissioner for Patents Washington, D.C. 20231

07/17/01

TOWNSEND and TOWNSEND and CREW LLP

Brad J. Loos

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEPHEN A. EMPEDOCLES

Application No.: 09/827,013

Filed: April 5, 2001

For: DIFFERENTIABLE SPECTRAL

BAR CODE METHODS AND

SYSTEMS

Examiner:

Unassigned

Art Unit:

1743

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and STEPHEN A. EMP OCLES Application No.: 09/827,013



no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

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MDB:bjl

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